• .			Applicant or Patenton.	Kathryn Line	all and David Philip Lanc		All	
,		P	ocial or Patent No	National Phase of		· · · · · · · · · · · · · · · · · · ·	Docket No.; CCI-007	US
	O	• •	Title	November 6, 1998 Methods and Mea	ns For Inhibition of CDK4 A			
P.	DEC	24	1998 (	VERIFIED STAT	EMENT (DECLARATION FR 1.9(1) and 1.27(c)) - SM	) CLAIMING SMA		
V	ENT &	RAD	the owner of	the small busines	s concern identified below ss concern empowered to a		concern identified below	<b>w</b> ·
			La la otticio di	are arrest bearing	s constitution and to			••
			NAME OF SMALL					
			ADDRESS OF SMA	LL BUSINESS	CONCERN Whiteha		Floor	·
					<u>Dundee D</u>		<del></del>	
	:				Scotland,	United Kingdom		
	*		Office, in that the num purposes of this statem the concern of the pers year, and (2) concerns	duced in 37 CFR ber of employees ent, (1) the numb ons employed on are affiliates of ea	entified small business con 1.9(d), for purposes of pa of the concern, including er of employees of the bus a full-time, part-time or to each other when either, dire ics controls or has the pow	ying reduced fees those of its affiliate siness concern is the imporary basis duritly or indirectly, or	to the United States Pater es, does not exceed 500 p he average over the previous ring each of the pay perion one concern controls or h	nt and Trademark persons. For ous fiscal year of ds of the fiscal
	بھ <u>م</u>	<b>.</b>	I hereby declare identified above with n		contract or law have been ation described in:	conveyed to and re	emain with the small busi	iness concern
	C.	Ì	the specifical	ion filed herewith	n with title as listed above	•		
	ļ-			m identified abov	e,			
	C.		the patent ide	entified above.				
			having rights in the inv would not qualify as a would not qualify as a *NOTE: Separate v	ention is listed be independent inv small business co	ntified small bysiness con- elow and no rights to the entor under 37 CFR 1.9(c) neem under 37 CFR 1.9(c) required from each named person	invention are held ) if that person mad !), or a nonprofit o	by any person, other that de the invention, or by an rganization under 37 CFI	in the inventor, whi ny concern which R 1.9(e).
	۶.		NAME Universit	y of Dundee			•	
	Ę.		ADDRESS Dunder,	DD1 4HN, Great B	ritain			
				NDIVIDUAL	SMALL BUSINESS C	ONCERN ME	NONPROFIT ORGANIZA	TION
	V.	į	NAME					
•			ADDRESS	NDIVIDUAL	SMALL BUSINESS C	ONCERN O	NONPROFIT ORGANIZA	TION
			l acknowledge the	e dury to file, in tity status prior to	this application or patent, paying, or at the time of entity is no longer approp	notification of any paying, the earliest	change in status resulting tof the issue fee or any or	g in loss of
I hereby declare that all statements made herein of my own knowledge are true and that a information and belief are believed to be true; and further that these statement were made with a statements and the like so made are punishable by fine or imprisonment, or both, under section.  States Code, and that such willful false statements may jeopardize the validity of the application any patent to which this verified statement is directed.								that willful false
			NAME OF PERSON S	IGNING Spi	ro Rombotis	- · · · · · · · · · · · · · · · · · · ·		
			TITLE OF PERSON C	THER THAN O	WNER Chief Ex	ecutive		
			ADDRESS OF BEDSA	N SIGNING	E Chitaball C			_

DATE

Applicant or Patentee:	Kathryn L	sy's	
Serial or Patent No.:	National Phone based on PCT/GB97/01250	Docket No.: CCI-007US	
Filed or Issued:	November 6. 1998		
Title:	Methods and Means for Inhibition of CDK4 Activity		

## VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) and 1.27(d)) - NONPROFIT ORGANIZATION

•		I hereby declare	that I am an official empo	wered to act on behalf of the nonprofit organ	ization identified below:
~1		NAN	AE OF NONPROFIT ORG	ANIZATION University of Dundee	
/	1178			ORGANIZATION Dundee, DD1 4HN, C	Freat Britain
			E OF NONPROFIT ORGA		Mar Dilitalit
					4 77 A 5 1
	o o / 1005	(S) (B)		OTHER INSTITUTION OF HIGHER EDUCA	
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•	MADEN	_	(CITATION OF ST	ATUTE	
	•	٥		AS TAX EXEMPT UNDER INTERNAL RE	
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_				AS NONPROFIT SCIENTIFIC OR EDUCA	
				TATES OF AMERICA IF LOCATED IN TH	
			(NAME OF STATI		
			(CITATION OF ST	WINTE	
		of paying reduction of	ed fees to the United States CDK4 Activity	Patent and Trademark Office regarding the I	organization as defined in 37 CFR 1.9(c) for purposes invention entitled Methods and Means For
	C.)		amryn Lindsay Ball i	and David Philip Lane	
	vi)	described in			
			the specification file		
	ļ-iJ	Œ	application serial no	. National Phase based on PCT/GB97/01250,	filed November 6, 1998
•	āfi		patent no.	, issued	
-7		listed below* an under 37 CFR 1	I by the nonprofit organiza d no rights to the invention .9(c) if that person made the rofit organization under 37	n are held by any person, other than the invente invention, or by any concern which would to CFR 1.9(e).	en or organization having rights in the invention is nor, who would not qualify as an independent inventor not qualify as a small business concern under 37 CFR
		ANOTE: Comm		neguined from each newed negroe congress on	organization having rights to the invention averring to
	First Start Tours That the		nall entities. (37 CFR 1.27		DISORIESMON HEATING THE HEATING STATISTICS OF
	7.1	NAME	Cyclacel Limited		
	#1 <i>f</i>	ADDRESS	5 Whitehall Coscent 3rd	Floor, Dunder DDI, 4AR Scotland, United I	Kingdom
	ų,i	110010.00	☐ INDIVIDUAL	SMALL BUSINESS CONCERN	☐ NONPROFIT ORGANIZATION
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		~DD14230	☐ INDIVIDUAL	SMALL BUSINESS CONCERN	Q NONPROFIT ORGANIZATION
	· }	status prior to pr	he duty to file. In this appli aying, or at the time of pay er appropriate. (37 CFR I	ing, the earliest of the issue fee or any mainte	n status resulting in loss of entitlement to small entity mance fee due after the date on which status as a small
	. <b>-</b> .	I hereby declare	that all statements made h	crein of my own knowledge are true and that	all statements made on information and belief are
		believed to be to	ue: and further that these s	tatements were made with the knowledge that	t willful false statements and the like so made are
	•				of States Code, and that such willful false statements
		may jeonardize	the validity of the anniesti	ion, any patent issuing thereon, or any patent	to which this verified statement is directed.
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•		NAME OF PER	SON SIGNING RA	obert Seaton	
•					the University
•			PERSON SIGNING	VSIGNING Socretary of University of Dundee,	bundee DBI 4HN
_7		, 100 (44) 4 (F)	_		
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SIGNATURE